[Proposed] Order Granting Final Approval of Class Action Settlement and Entering Judgement Case No. 19STCV38096

the Amended Class Action Settlement Agreement ("Settlement Agreement") with Defendant ND Industries ("Defendant"), for attorneys' fees and costs, service payment, and for the fees and expenses of the Settlement Administrator came on for hearing on June 23, 2022 before the Honorable David S. Cunningham.

The motion of Plaintiff Roberto Rodriguez ("Plaintiff") for an order finally approving

I.

FINDINGS

Based on the oral and written argument and evidence presented in connection with the motion, the Court makes the following findings:

- 1. All terms used in this Order shall have the same meaning as in the Settlement Agreement.
- 2. This Court has jurisdiction over the subject matter of this litigation pending before the California Superior Court for the County of Los Angeles, and over all Parties to this litigation, including the Class.
- 3. Based on a review of the papers submitted by Plaintiff and a review of the applicable law, the Court finds that the Gross Settlement Amount of \$465,000 and the terms set forth in the Settlement Agreement are fair, reasonable, and adequate.
- 4. The Court further finds that the Settlement was the result of arm's-length negotiations conducted after Class Counsel had adequately investigated the claims and became familiar with the strengths and weaknesses of those claims. The amount of the Settlement, the significant risks relating to certification, liability, and damages, and the assistance of an experienced mediator in the settlement process, among other factors, support the Court's conclusion that the Settlement is fair, reasonable, and adequate.

Preliminary Approval of the Settlement

5. On February 25, 2022, after reviewing briefing and declarations of counsel, Plaintiff, and the Settlement Administrator, the Court granted preliminary approval of the Settlement. At that time, the Court also approved conditional certification of the Class for settlement purposes only.

6. In compliance with the Preliminary Approval Order, the Class Notice and Change of Address form, translated into Spanish, and mailed together with a pre-printed return envelope ("Notice Packet") was mailed by first class mail to Class Members at their last known addresses on March 15, 2022. Mailing the Notice Packets to their last known addresses was the best notice practicable under the circumstances and was reasonably calculated to communicate actual notice of the litigation and the proposed Settlement to the Class. The Class Notice fully and accurately informed the Class Members of all material elements of the proposed Settlement and of their opportunity to dispute the information on which their Settlement Share would be calculated, to object or to seek exclusion from the Settlement; was valid, due, and sufficient notice to all Class Members; and complied fully with the laws of the State of California and due process. The Class Notice fairly and adequately described the Settlement and provided Class Members adequate instructions and means to obtain additional information.

7. The deadline for opting out or submitting written objections to the Settlement was May 14, 2022, 60 days from the initial mailing of the Notice Packets. There was an adequate interval between notice and the deadline for Class Members to choose what to do and act on their decision. A full opportunity was afforded to Class Members to participate in this hearing, and all Class Members and other persons wishing to be heard have been heard. Class Members also had a full and fair opportunity to exclude themselves from the proposed Settlement and Class. Accordingly, the Court determines all Class Members who did not timely and properly submit a request for exclusion are bound by the Settlement, and this Final Approval Order and Judgment.

Fairness of Settlement

- 8. The Settlement Agreement is entitled to a presumption of fairness. *Dunk v. Ford Motor Co.* 48 Cal.App.4th 1794, 1801 (1996).
- a. The settlement was reached through arm's-length bargaining between the parties during an all-day mediation before experienced wage and hour mediator Lou Marlin. There has been no collusion between the Parties in reaching the proposed settlement.

- b. Plaintiff's investigation and discovery have been sufficient to allow the Court and counsel to act intelligently.
- c. Counsel for the Parties are experienced in similar employment class action litigation. All counsel recommended approval of the Settlement Agreement.
 - d. There were no objectors or requests for exclusion received.
- e. The participation rate was high and 85 Class Members will be mailed a settlement payment, representing 100% of the overall Class.
- 9. The consideration provided to Class Members under the terms of the Settlement Agreement is fair, reasonable and adequate and in the best interests of the Class Members.

Class Counsel's Attorneys' Fees and Costs

10. An award of \$155,000 for attorneys' fees, representing one-third of the Gross Settlement Amount, and \$14,000 for litigation costs and expenses, is reasonable in light of the contingent nature of Class Counsel's fee, the hours worked by Class Counsel, and the results achieved for the Class.

Class Representative Service Payment

11. The Settlement Agreement provides for a Class Representative Service Payment of not more than \$7,000 to Plaintiff, subject to the Court's approval. The Court finds that Class Representative Service Payment in this amount to the Plaintiff is reasonable in light of the risks and burdens undertaken by the Plaintiff in this litigation, for the time and effort in bringing and prosecuting this matter on behalf of the Class, and for providing a general release.

Settlement Administration Expenses

12. The Settlement Administrator shall calculate and administer the payment to be made to Participating Class Members, transmit payment for attorneys' fees and costs to Class Counsel, transmit the Class Representative Service Payment to Plaintiff, distribute the LWDA Payment, issue any required tax reporting forms, calculate withholdings and perform the remaining duties set forth in the Settlement Agreement. The Settlement Administrator has documented \$6,000 in fees and expenses, and this amount is reasonable in light of the work performed by the Settlement Administrator.

PAGA Payment

13. The Settlement Agreement provides for a PAGA Payment out of the Gross Settlement Amount of \$5,000, which shall be allocated \$3,750 (75%) to the LWDA as its share of the settlement of civil penalties pursuant to the PAGA and \$1,250 (25%) to be retained in the Net Settlement Amount for distribution to Participating Class Members. The Court finds this PAGA Payment to be reasonable.

II.

ORDERS

Based on the foregoing findings, and good cause appearing, IT IS ORDERED:

- 14. The Class is certified for the purposes of settlement only. The Class is defined as follows: "All current and former non-exempt employees employed by Defendant in California at any time from October 24, 2015 to June 1, 2021."
- 15. All persons who meet the foregoing definition are members of the Class.

 No member of the Class has returned a valid and timely request for exclusion from the Class.
- 16. The Settlement Agreement is finally approved as fair, reasonable, and adequate, and in the best interest of the Class.
- 17. Class Counsel are awarded attorneys' fees in the amount of \$155,000 and costs in the amount of \$14,000. Class Counsel shall not seek or obtain any other compensation or reimbursement from Defendant, Plaintiff or members of the Class.
- 18. The payment of Class Representative Service Payment in the amount of \$7,000 to Plaintiff is approved.
- 19. The payment of \$6,000 to the Settlement Administrator for their fees and expenses is approved.
- 20. The Private Attorney Generals Act (PAGA) Payment of \$5,000 is approved, of which \$3,750 (75%) will be paid to the California Labor and Workforce Development Agency (LWDA), and \$1,250 (25% of \$5,000) will be distributed on a pro-rata basis to Class Members based on the number of pay periods worked during the PAGA period, from October 7, 2018 to June 1, 2021.

- 21. The Settlement Agreement and this Settlement are not an admission by Defendant, nor is this Final Approval Order and Judgment a finding of any wrongdoing by Defendant, or that this Action is appropriate for class treatment other than for settlement purposes. Neither this Final Approval Order and Judgment, the Settlement Agreement, nor any document referred to in the Settlement Agreement, nor any action taken to carry out the Settlement Agreement is, or may be construed as an admission by or against Defendant of any fault, wrongdoing or liability. Entering into or carrying out the Settlement Agreement, and any negotiations or related proceedings, shall not be construed as, or deemed to be evidence of, an admission or concession by Defendant. Notwithstanding these restrictions, Defendant may file in the Action, or in any other proceeding, this Final Approval Order and Judgment, the Settlement Agreement, or any other papers and records on file in the Action as evidence of the Settlement to support a defense of res judicata, collateral estoppel, release, or other theory of claim or issue preclusion or similar defense as to the Released Class Claims.
- 22. Notice of entry of this Final Approval Order and Judgment shall be given to all Parties by Class Counsel. The Final Approval Order and Judgment shall be posted on the Administrator's website, as set forth in the Class Notice. It shall not be necessary to send notice of entry of this Final Approval Order and Judgment to individual Class Members.

IT IS ORDERED, ADJUDICATED AND DECREED THAT:

- 23. Except as set forth in the Settlement Agreement and this Final Approval Order and Judgment, Plaintiff, and all members of the Class, shall take nothing in the Action.
- 24. The Court shall retain jurisdiction to construe, interpret, implement and enforce the Settlement Agreement, to hear and resolve any contested challenge to a claim for settlement benefits, and to supervise and adjudicate any dispute arising from or in connection with the distribution of settlement benefits.
- 25. Each party shall bear their own attorneys' fees and costs, except as otherwise provided in the Settlement Agreement and in this Final Approval Order and Judgment.
- 26. Each Class Member who has not returned a valid and timely Request for Exclusion has released the "Released Class Claims" against Defendant and "Released Parties."